

SEC/NSE/123/17-18 Chennai, August 10, 2017

The Manager
National Stock Exchange of India Ltd.

Exchange Plaza,
Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051.

Kind Attn: Mr. Kautuk Upadhyay.

Re: Database of listed Shell Companies

Dear Sirs,

SQS India BFSI Limited
6A, Sixth Floor, Prince Infocity II
No. 283/3 & 283/4

No. 283/3 & 283/4 Rajiv Gandhi Salai (OMR) Kandanchavadi, Chennai 600096, India

Phone: +91 44 4392 3200 Fax: +91 44 4392 3258 info-india@sqs.com www.sqs-bfsi.com CIN: L64202TN1998PLC066604

We refer to your letter ref. NSE/LIST/15324 dated August 9, 2017 on the subject along with the letter from SEBI Ref. No. SEBI/HO/ISD/OW/P/2017/18926 dated August 9, 2017 seeking certain documents from the Company for the purpose of verification of credentials / fundamentals of our Company.

In this regard, we would like to state that we enclose herewith the Auditor's Certificate covering the following points:

- 1. Status of filing of annual Income tax return for the last three financial years and status of any pending disputes with Income Tax Department;
- 2. Status of Company's compliance with all requirements of the Companies Act, 2013 and annual returns filed during the last three years;
- 3. The certificate on the status of the Company as a Going Concern and the description of the Company's business model. Bank statements of the Company for the last one year with status;
- 4. Status of Company with respect to default to any bank / financial institution; and
- 5. Status of Company's compliance with all the listing regulation requirements for a period of last three years.

We now request you to take on record the Auditor's Certificate and oblige and do the needful.

Yours sincerely, For SQS India BSFI Limited

S. Sampath Kumar Company Secretary and Compliance Officer FCS No.3838

Encl : As above.

KALYANIWALLA & MISTRY LLP

CHARTERED ACCOUNTANTS

INDEPENDENT AUDITOR'S CERTIFICATE FOR SQS INDIA BFSI LIMITED ON INORMATION SUBMISSION TO STOCK EXCHANGES

- 1. We, M/s. Kalyaniwalla & Mistry LLP, Chartered Accountants, Statutory Auditors for M/s. SQS India BFSI Limited, have verified the relevant books of account and other relevant documents and records maintained by M/s. SQS India BFSI Limited ("SQS India" or "the Company"), CIN L64202TN1998PLC066604 and having its Registered office at 6A, Sixth Floor, Prince Infocity II, 283/3 & 283/4, Rajiv Gandhi Salai (OMR), Kandanchavadi, Chennai 600 096, for the limited purpose of certifying "the specified information submitted for purpose of verification of credentials / fundamental of the Company" ("the Information") as required by National Stock Exchange of India Limited ("the NSE") vide letter no. NSE/LIST/15324 dated August 9, 2017 and BSE Limited ("the BSE) vide letter no. L/SURV/OFL/KM/COMP/53321 dated August 10, 2017, hereinafter collectively referred to as ("the Stock Exchanges").
- 2. The Management of the Company is responsible for the maintenance of proper books of account, such other relevant documents and records as prescribed by applicable laws and complying with the applicable laws and regulations. The Management of the Company is also responsible for the preparation and submission of the Information prepared in Annexures E1, E8, E10 and E14 as required by the Stock Exchanges. This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of these Annexures and applying an appropriate basis of preparation in the circumstances.
- 3. Our responsibility is to obtain assurance that the Information is properly prepared by the Company on the basis of verification of the relevant books of account and other documents and records maintained by the Company. We have not evaluated the appropriateness of the criteria established by the Management to comply with various laws and regulation applicable to the Company and preparation of the Information required by the Stock Exchanges.
- 4. We conducted our verification in accordance with the Guidance Note on Audit Reports and Certificates for Special Purposes and Standards on Auditing issued by the Institute of Chartered Accountants of India. The Guidance Note requires that we comply with the ethical requirements of the Code of Ethics issued by the Institute of Chartered Accountants of India.
- 5. We have complied with the relevant applicable requirements of the Standard on Quality Control (SQC) 1, Quality Control for Firms that Perform Audits and Reviews of Historical Financial Information, and Other Assurance and Related Services Engagements.

KALYANIWALLA & MISTRY LLP

- 6. On the basis of our verification of the aforesaid books of accounts and other relevant documents and according to the information and explanations given to us by the Management of the Company and read with para 3 and 4 above, we certify that:
 - a. The Company has filed its annual income tax return for last three years i.e. Financial Year 2013-14, Financial Year 2014-15 and Financial Year 2015-16.
 - b. The status of the pending disputes under the Income Tax Act, 1961 provided in Annexure E1 of the Information, in all material aspects, is fairly stated.
 - c. The Company has filed its annual returns under the Companies Act, 2013 for last three financial years i.e. Financial Year 2013-14, Financial Year 2014-15 and Financial Year 2015-16.
 - d. The Company is a software service provider primarily delivering software validation and verification services to the banking and financial services industry worldwide and based on our assessment of the financial position of the Company as at March 31, 2017, we confirm that the Company is a going concern entity.
 - e. The details of bank accounts of the Company along with its status provided in the Annexure E8 of the Information is complete and correct.
 - f. The Company has not defaulted in repayment of dues to Banks and the Company has not availed any loans / facilities from financial institution.
- 7. Further, on the basis of our verification of the aforesaid books of accounts and other relevant documents and according to the information and explanations given to us by the Management of the Company and read with para 3 and 4 above, we state that:
 - a. Nothing has come to our attention that cause us to believe that the Company has not complied, in all material aspects, with the Companies Act 2013 and the status of the compliances with the Companies Act, 2013 read with rules thereunder for the last three years as provided in the Annexure E10 of the Information is properly prepared.
 - b. Nothing has come to our attention that cause us to believe that the Company has not complied, in all material aspects, with the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the status of the compliances with the listing regulations requirements for the last three years as provided in the Annexure E14 of the Information is properly prepared.

KALYANIWALLA & MISTRY LLP

8. This certificate has been issued at the request of the Company for the purpose of submitting the same to the stock exchanges and is not to be used, referred to or distributed for any other purpose without our prior written consent. Accordingly, we do not accept or assume any liability or any duty of care for any other purpose or to any other person to whom this certificate is shown or into whose hands it may come without our prior consent in writing.

For KALYANIWALLA & MISTRY LLP CHARTERED ACCOUNTANTS

mouni

(Firm Registration No: 104607W / W100166)

FARHAD M. BHESANIA

PARTNER

Membership No. 127355

Place: Mumbai

Date: August 10, 2017

Annx - E1

Si. No.	Fin Year & Date of the Notice	Parties	♣ Brief Facts	Status
1	FY 2008-09	DCIT	Highlights of the case :	
		Corporate	Disallowed the Branch revenue, Onsite revenue from the total export turnover for calculating Sec 10AA	
		Circle 6(2)	claim.	
			The Initial Asst order passed during Ian 2012 with the Tax demand of INR 62.70Mn. After considering the	The Company has filed a Stay of Demand.
	•		petition for rectification, DCIT passed Rectification order with the Tax demand of INR.48.90 Mn.	The Company has also filed an Appeal online
	***************************************	ii.	2. CIT(A) rejected our appeals. ITAT passed the order & directed the DCIT to give effect to the ground of	with CIT(A).
	1		appeals of the Company.	
			DCIT passed the revised Assessment order u/s 143(3)on 05 APR 2016 giving effect to the ITAT order. While	
			passing the Revised Asst. order by the DCIT, the full effect of ITAT Order has not been considered. The revised	
1			lax demand - Rs. 38.53 Mn " The Tax demand of Rs.38.53 Mn considered under Contingent liabilities in the	
			Financials as on 31st Mar 2017"	
2	EV 2000 40	1		
2	FY 2009-10	DCIT	Disallowed the 10A benefits fully because the Company has already completed 10 years of STPI benefit as per	ITAT passed order dated 11th May 2016. ITA
I		Corporate Circle 6(2)	DCIT. Tax demand - Rs 23 Mn. For which company already made the provision	has mentioned that the matter needs to be
I	٠.	Circle 6(2)		examined and has directed the Assessing
Į				officer to verify the first year claim of the
		 	Accessing Officer has so appead the access and have	company.
	-		Assessing Officer has re opened the assessment by serving a notice u/s 148 of the Income Tax Act dt. 30th Mar	The company has filed the reply letter askin
***************************************	-		2017 served on us on 04th May 2017 on the ground that the AO has reason to believe that the income has escaped assessment.	for reason for reopening.
				Prince
3	FY 2011-12	DCIT	The Company has received the Assessment order u/s 143(3) for the FY 11-12 on 05 APR 2016.	The Company has filed a Stay of Demand on
		Corporate	In the asst. order the disallowance made towards Sales Commission, Professional Fees accounted in Foreign	26th April 2016.
		Circle 6(2)	Branches.	The Company has filed an Appeal online with
		re-	In addition to that 10AA disallowance towards Expenses, Insurance and Telecommunication Expense.	CIT(A).
1			The above disallowance has resulted in a Tax Demand of INR 10.48 Mn as per the Assessment order. The Tax	
			demand of Rs.10.48 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017"	
1				
4	FY 2012-13	DCIT	The Company has received the Income Tax Assessment order u/s 143(3) for the FY 12-13 on 05 APR 2016.	The Comments 51 1
		Corporate	in the asst. order the disallowance made towards Professional Fees accounted.	The Company has filed an Appeal online with
		Circle 6(2)	In addition to the 10AA disallowance towards Forex Expense, Insurance and Telecommunication expenses.	CIT(A). The case is pending before CIT (A)
1		Circle D(Z)		·
**************************************		on cic b(Z)	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax	
**************************************		on the b(Z)	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax	
**************************************		·	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017"	
POPPHALERACIONES VICTORIAN (PARA COLUMNIA)		·	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax	
***************************************			The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax	
5	FY 2013-14		The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017"	
5		DCIT	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13-14 on 28th Dec 2016. In	
55		DCIT Corporate	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13–14 on 28th Dec 2016. In the asst. order following disallowances were made:	
5		DCIT Corporate	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13-14 on 28th Dec 2016. In the asst. order following disallowances were made: tax demand - Rs. 5.29 Mn (1) Assessing officer has reduced the 1004 being	rectification petition, Appeals with CIT (A) and and stay petition. Further communication is
5.		DCIT Corporate	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13-14 on 28th Dec 2016. In the asst. order following disallowances were made: tax demand - Rs. 5.29 Mn (i) Assessing officer has reduced the 10AA claim	rectification petition, Appeals with CIT (A) and
5 6		DCIT Corporate Circle 6(2)	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13-14 on 28th Dec 2016. In the asst. order following disallowances were made: tax demand - Rs. 5.29 Mn (i) Assessing officer has reduced the 10AA claim (ii) Not considered the TDS amount	rectification petition, Appeals with CIT (A) and and stay petition. Further communication is
5 F		DCIT Corporate Circle 6(2)	The above disallowance has resulted in a Tax Demand of INR 1.59 Mn as per the Assessment order. The Tax demand of Rs.1.59 Mn considered under Contingent liabilities in the Financials as on 31st Mar 2017" The Company has received the Income Tax Assessment order u/s 143(3) for the FY 13-14 on 28th Dec 2016. In the asst. order following disallowances were made: tax demand - Rs. 5.29 Mn (i) Assessing officer has reduced the 10AA claim	rectification petition, Appeals with CIT (A) and and stay petition. Further communication is





SQS INDIA BFSI LTD (HO + BRANCHES + SUBSIDIARIES) STATUS AS ON 10TH AUG 2017

SLNO	Bank							Latest statement -	Soft Copy	*
Janeo	Bank	A√c No	Туре	Currency	Branch/ address	Country	Status	Hard Copy	(Yes/No)	Ref No
STANE	PALONE (HO + BRANCHES)	<u> </u>	!	 				(Yes/No)		
1	ICICI A/C - Velachery A/C	038505003647	Current	INR	Velachery, Chennai	India	Active			<u> </u>
2	ICICI A/C - T.Nagar	502605048140	Current	INR	T.Nagar, Chennai	India	Active	V	Yes	1
3	Citibank A/C	342982018	Current	INR	Chennai	India	Not active	Yes	Yes	2
4	LVB A/C	0434351000001342	Current	INR	CATHEDRAL ROAD, Chennai	India	Active			 _
5	SBI A/C	31712824395	Current	INR	Tiruvanmiyur, Chennai	India	Active		Yes	3
6	HSBC A/c	042591404001	Current	INR	CATHEDRAL ROAD, Chennai	India	Active	V	V	<u> </u>
7	HSBC A/c-USD	042591404511	EEFC	USD	CATHEDRAL ROAD, Chennai	India	Active	Yes	Yes	4
8	HSBC A/c-SGD	042591404512	EEFC	SGD	CATHEDRAL ROAD, Chennai	India	Active	 		
9	HSBC A/c-EURO	042591404513	EEFC	EUR	CATHEDRAL ROAD, Chennai	India	Active			
10	HSBC A/c-GBP	042591404514	EEFC	GBP	CATHEDRAL ROAD, Chennai	India	Active			
11	HSBC A/c-AUD	042591404515	EEFC	AUD	CATHEDRAL ROAD, Chennai	India	Not active		***************************************	
12	LVB - CC	0434638000000034	Current	INR	CATHEDRAL ROAD, Chennai	India	Active	Yes	Yes	5
13	LVB - ĞBP	0434372000000017	EEFC	GBP	CATHEDRAL ROAD, Chennai	lindia	Active	4	Yes	6
14	LVB - SGD	0434376000000011	EEFC	SGD	CATHEDRAL ROAD, Chennai	India	Active	<u> </u>	Yes	7
15	LVB - USD	0434371000000023	EEFC	USD	CATHEDRAL ROAD, Chennai	India	Active		Yes	8
16	LVB - AUD	0434374000000014	EEFC	AUD	CATHEDRAL ROAD, Chennai	India	Active	"	Yes	9
17	LVB - Euro	0434373000000013	EEFC	EUR	CATHEDRAL ROAD, Chennai	India	Active	/	Yes	10
18	LVB - MEPZ - SGD	0434376000000023	EEFC	SGD	CATHEDRAL ROAD, Chennai	India	Active		Yes	11
19	LVB - MEPZ - USD	0434371000000047	EEFC	USD	CATHEDRAL ROAD, Chennai	India	Active	·	Yes	12
20	ING Bank, Belgium - (Euro)	77330058742042	Current	EUR	Stationsstraat	Belgium	Active	÷	Yes	13
21	HSBC Bank , Malaysia (MYR)	105718464101	Current	MYR	Malaysia	Malaysia	Active		103	
22	Yes Bank	059481400001261	Current	INR	CATHEDRAL ROAD, Chennai	India	Active		Yes	14
				1			1			
-	DIARIES			1			1			
23	HSBC A/c, UAE -(AED)	026272518001	Current	AED	Bur Dubai	Sharjah, UAE	Active		Yes	15
	HSBC A/c, Singapore (SGD)	052066768001	Current	SGD	Singapore,	Singapore	Active	 	Yes	16
25	HSBC A/c, New york,USD	006149669	Checking	USD	Newyork	lus	Active	}~~~~	Yes	17
26	Dresdner A/c, Germany - (EURO)	0487037200	Current	EUR	Frankfurt Bankleitzah	Ğermany	Active		1	
27	ICICI Bank - UK LTD (GBP)	76111553	Current	GBP	Knightsbridge, London	UK	Active		Yes	18
<u> </u>						† 				
-	END ACCOUNTS					İ	1			
28	Axis - Dividend 2010-11	911020039960121	Dividend	INR	Chennai	India	Active			
29	HDFC Interim Dividend A/c 2011-12	10978600000050	Dividend	INR	Chennai	India	Active		Yes	19
	HDFC Interim Dividend A/c 2012-13	10978600000091	Dividend	INR	Chennai	India	Active	 	Yes	19
31	HDFC Final Dividend A/c 2011-12	10978600000074	Dividend	INR	\$ \	India	Active	······	Yes	19
	HDFC Final Dividend A/c 2012-13	50200001642950	Dividend	INR	· · · · · · · · · · · · · · · · · · ·	India	Active]	Yes	19
	HDFC Interim Dividend A/c 2013-14	50200002588679	Dividend	INR		India	Active		Yes	19
34	HDFC Final Dividend A/c 2013-14	50200006731649	Dividend	INR	3	India	Active		Yes	19
35	HDFC Interim Dividend A/c 2014-15	50200008547361	Dividend	INR	<u> </u>	India	Active		Yes	19
******************	HDFC Final Dividend A/c 2014-15	50200013370843	Dividend	INR	Сћеппај	India	Active	***************************************	Yes	19
***************************************	HDFC Interim Dividend A/c 2015-16	50200015637702	Dividend	INR	Chennai	India	Active	· ************************************	Yes	19
38	HDFC Final Dividend A/c 2015-16	50200019821191	Dividend :	INR	Chennal	India	Active		Yes	19
39	HDFC Interim Dividend A/c 2016-17	50200021934979	Dividend	INR	Chennai	India	Active		Yes	19
40	HDFC Final Dividend A/c 2016-17	50200025908292	Dividend	INR	·	India	Active	The state of the s	-	
41	HDFC Final Dividend A/c 2009-10	10978600000016	Dividend	INR	Chennai	India	CLOSED - May	17	Yes	19



Note 1: Bank statement provided in hard copy - for the month of JULY 2017 (for LVB, ICIC and HSBC) Note 2: Bank statement provided in soft form from 01st April 2016 till 31st July 2017



j-im	ROC COMPLIANCE								
ŝ.	No		ill 31 July 2017}		D16-17		015-16	FY:	014-15
		Due Date	Date of Actua	Due Date	Date of Actual	Due Date	Date of Actual	Due Date	Date of Actual
1		26-May-17	3-May-17		Filina		Eilino		Filing
	MGT 14 - Adoption of Annual financial statements	26-May-17							
		26-May-17	Acres		 	-			
	To report of boards report	26-May-17	2				·	****	**
1	Form IEPF 1 - Details of amount transferred to IEPF	26-May-17 30-Jun-17	Francisco Commence Contractor		***************************************	***************************************			***************************************
7	Form MGT-14 - Special Resolutions passed at Postal Ballot	30-301-1/	31-May-17	15-Am-16	7-Apr-16				
8	Form DIR-12 - Appointment of Aarti Arvind, N. Vaidvanathan and	~~.	***************************************	30-Apr-16		.1	*		
	Resignation of Gireendra Kasmalkar and Dr. Martin Mueller as Directors								
9	Form PAS - 3 - Allotment of Shares - 28 Apr 2016		0.1664666000 #ffse###\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	27-May-16	18-May-16	·		***************************************	•
10				27-May-16	20-May-16	22-May-1	19-May-1	5	·
1	- Lepon		· · · · · · · · · · · · · · · · · · ·		20-May-16		16-Jun-1	S	***************************************
13	Form DIR-12 - Appointment of Reij Thomas Cherian		***************************************		27-May-16 20-May-16				
14	Form DIR-12 - Appointment / Resignation of Company Secretary	***************************************	***************************************		12-May-16		-		
15			***************************************		27-May-16				-
16	Form MR1 - Appointment of KMP (Company Secretary) Form MGT 10 - For Change in Shareholding > 2%		***************************************		27-May-16		1	1	***************************************
18			·····			5-301-15			
19	Form MGT-15 - Proceeding of AGM to ROC	-		27-Aug-16 27-Aug-16	13-Aug-16 13-Aug-16				
20	form MGT-14 - Special Business transacted at AGM			27-Aug-16 27-Aug-16				in home commence and the commence of	31-Jul-14
21	Form PAS - 3 - Allotment of Shares 28 Jul 2016	······································	***************************************	27-Aug-16			5 mg 1.	1	3130114
22	Form CHG - 4 - Satisfaction of Charges Form CHG - 1 - Creation / Modification of Charge			***************************************	***************************************	25-May-15	20-May-1	SI	
24	Form IEPF 2 / Form 5 INV- Unclaimed Dividend Data as on AGM date		***************************************	19-0ct-16	market description and a second				
25	Form MGT 7 - Annual Return			27-Oct-16 29-Nov-16					7-Oct-14
26	Form AOC - 4 XBRL - Financials Filing	***	***************************************	29-Nov-16	31-Oct-16		3	1	7-Oct-14
27	Form IEPF 1 - Details of amount transferred to IEPF			13-Nov-16	7-Nov-16		10.101	23 000 1	7 001 11
28 29	Form PAS - 3 - Allotment of Shares 2 Feb 2017			4-Mar-17	8-Feb-17				
30	Dispatch of Annual Report Form DIR-12 - Appointment of Lilian Jessie Paul	3-Jul-17	26-Jun-17			1-Jul-15	2		27-Jun-14
31	Form PAS - 3 - Allotment of Shares 5 Nov 2015	3-Jul-17	26-Jun-17			22-Aug-15			
32	Form PAS - 3 - Allotment of Shares 28 Jan 2016	I			***************************************	4-Dec-15 27-Feb-16		.i	
33	Form GNL-2 - Postal Ballot Calender of Events	-	***************************************			12-Feb-16	tientetetenement.	L	
34	Filing of B/s & P&L A/c (Form 23AC,ACA XBRL) - Due Date(30 days from		***************************************	***************************************	***************************************		***************************************	23-Aug-14	21-Aug-14
35	the date of AGM) Filing of Annual Return (Form 20B) - Due Date(60 days from the date of					***************************************	***************************************		_
	IAGM)							23-Sep-14	20-Sep-14
36	Appointment of CFO (DIR - 12) - Board Resolution date 23.04.2014 Appoinment of KMP (MGT - 14) - Board Resolution date 23.04.2014 - 2	ļ							23-May-14
	Nos.							23-May-14	23-May-14
38	Allotment of Shares under ESOS (PAS - 3) - Board Resolution date 23.04.2014				A. 200 Marie Commence	***************************************		23-May-14	23-May-14
39	Return of appointment of KMP - MR - 1							22-Jun-14	3-Jun-14
40	Disclosure of interest received from all the Directors MGT - 14	-					***************************************	2-Jul-14	23-Jun-14
41	Allotment of Shares under ESOS (PAS - 3) - Board Resolution date 2.06.2014						***************************************	2-Jul-14	23-Jun-14
42	Modification of Charge on Cash Credit (CHG - 1) - Agreement date							11 - Jul-14	11-Jul-14
43	13.06,2014 Modification of Charge on Term Loan (CHG - 1) - Agreement date							11-301-14	11-301-1-1
43	13.06.2014		1		1		-	11-Jul-14	11-Jul-14
44	Allotment of Shares under ESOS (PAS - 3) - Board Resolution date							23-Aug-14	30-Jul-14
45	24/07/2014 For GNL-2 along ADT - 1	***************************************					***************************************		
	Form INC 24 - (60 days from the date of filing form INC 1)						**************	8-Aug-14 2-Sep-14	31-Jul-14 4-Aug-14
47	GNL-2 along with MGT - 10			······		······		19-Apr-14	7-Aug-14
	GNL-2 along with MGT - 15							23-Aug-14	14-Aug-14
49	OIR 12 for Appointment of Independent Directors & Redesignation of							23-Aug-14	23-Aug-14
50	Form MR 2 - For appointment of Managing Director		······································					26-Mar-14	22-Aug-14
	MGT 14 - Adoption of Quarterly Unaudited Financial Statements		***************************************	***************************************				23-Aug-14	27-Sep-14
52	MGT 14 - Adoption of Accounts, Internal Auditor Appointment, Taking note							28-Nov-14	7-Nov-14
	of Ms. Jessie Declaration and Mr. Raily's disclosure DIR 12 for Appointment of Ms. Jessie Paul as Director		***************************************				······	28-Nov-14	7-Nov-14
54	AS 3 - ESOP Allotment 30/10/2014							28-Nov-14	7-Nov-14
55	orm MGT 10 - For Change in Shareholding of IDFC EQUITY OPPORTUNITY cries One - > 2%							***************************************	29-Nov-14
56	om MGT 10 - For Change in Shareholding of ICICI SECURITIES LIMITED -						***************************************	6-Dec-14	29-Nov-14
57	204				***************************************				
	om MGT 10 - For Change in Shareholding of ICICI SECURITIES LIMITED-		I				1	20-Dec-14	17-Dec-14
58	orm MGT 10 - For Change in Shareholding of SQS SOFTWARE QUALITY	······································			·····			27-Dec-14	17-Dec-14
59	YSTEMS AG - > 2% orm CHG - 1 - Modification of Charges								
60	orm MGT 14 - For Adoption of accounts, Secretarial Auditor Appointment,					·		8-Jan-15 21-Feb-15	7-Jan-15 28-Jan-15
	Ir. Raily's Disclosure 27/01/2015 onn PAS 3 - For Allotment of Share								
	om IAS 3 - For Alloment of Share om INC- Name 1 - Availability	····							29-Jan-15
	VC- 1 - Name Availability							3-Jul-15 2-May-14	3-Jul-15 2-May-14
64	AS 3 - Allotment of Shares							2-Jun-14	2-Jun-14
65 \$	AS 3 - Allotment of Shares 23 April 2015				2	2-May-15 1	19-May-15		





L	ISQS India BFSI Ltd			
	List of Compliance under the Companies Act, 201	3 (the Act) & the rules made thereunder	to go the contract of the cont	
Section 12	Usage of Cité No is the Stationaries, Publications and	Financial Year 2018.17	Financial Year 2018-16	Figure 1 Year 2014-15
13	Name Beards Requirements with regard to change of name such as alteration of MOA and obtaining approval purvaise to change of name of Company, the Company needs to file dorm MOT 14 within 30 days of passing of special resolution and file sform INC 24 for approval of the Central Government.	the state of the s	i.v.A	Compiled
23	Public offer and Private Placement	to such instance occured	t4o such instance occurred	No such instance occurred during tha
56	Transfer and Transmission of Share	Compiled The Company has received share unrists request. The provisions with regards to the same has been compiled with.	,	No each wateres occurred during the
73	Acceptance of Deposits by Companies Acceptance of Deposit	No such instance occurred	No such instance occurred	No such instance occurred during the
77	Details of charge created/modified and satisfication	Company has modified the existing charge on 25th October 2016	Notice of about	Charge created with Lakshmi Vil
-91	Management and Administration A company may close Register of Members to detarmine the thereholder eligible to get dividend. Further, the company needs to give 7 days' notice in		2005/2015 Compsed	(Compiled
***************************************	advance before closing of Register of Mamber,		2000	
92	Filing of Annual Return to be done within 60 days irom the date of AGM	Compiled The tareline for the fong annual return has been extended by MCA up to November 29, 2016. The Company has filed its annual return for the Ly 2015-16 on October 28, 2016.	Complied The timeline for the libring of annual return has been extended by MCA up to December 30, 2015. The Company has sifed its annual return for the 1 y 2014-15 on November 3, 2015.	Complied Company has filed its annual return to the Ly 2013-14 on September 20, 201
93	Form MGT-10 to be filed with ROC within 15 days or any change (increase/decrease) for 2% or more, in a shareholdeng position (value/volume) of memoters and top ten shareholders	No such instance occurred	Compiled	Complied
94	Company needs to keep Register of Members and copy of annual return filed under section 92 in the treatisties of the company. Every company other than OPC shell hold AGM each	Complied	Complied	Crastes
98	year and not more than 0.5 months shell elapse between two meetings AGM to be celled during business hours (9AM to 6PM) except National Holiday, in the same city where the Registered Office is situated	The Annual General Meeting for the financial year ended March 31, 2016 was	Complied. The Annual General Meeting for the financial year ended March 31, 2015 was held on July 23, 2015	Complied The Annual General Meeting for the financial year ended March 31, 2014 was held on July 24, 2014
160	Calling of Extre Oldinary General Meeting	No such instance occurred	No sugh instance provined	No such instance accurred
101	A general meeting of the company shall be called by giving not less then 21 clear days notice. Every notice of the meeting shall specify place, date, day, hour of the meeting. Notice of the meeting shall be given to every member, the auditor and every director of the company.		Complied	Complied
102	Every company needs to annex to notice an explanatory statement in case any special business in conducting during the Congreti meeting	Conglias	Complied	Complled
103	Quorum for Meeting in case company having more than 5080 members 30 members personally present is the quorum	The requisite quantum was present	The requisite guinam was piesem	The requisite quotum was present
110	RADABEL DE REBULE DE DOSIGI DEROI		Complied	No such instance occurred
	Copy of every resolution (with explanatory statement, if any) or Agreement for the specified matters to be titled with ROC in Form MGT-14 within 30 days.	Complied	Complied	Complied
118	Minutes of every general meeting, Craditors, Board committee and postel ballot shall be prepared and signed and also entered in the minute's books within	Compiled	Compiled	⊘omplied
118	Anians of conclusion of refer mention concerned. Idintes of General & Board meetings shall be kept as Registered Office and kept in cusody of CS.	Domphad	Complied	Coupsed
121	sech AGM in the prescribed format and file the same with ROC in Form MGT-15 within 30 days of		Compiled Compiled	Compiled
123	Reclaration and Payment of Dividend sciention of dividend ividend shall be paid by the company out of profit of			Conciet
6	te scangaray, vividend has to be deposited in a schedule of commercial bank within 5 days from the date of collaration ayment of dividend within 30 days from the date of scharation		Complied	Complied Complied Complied
124 g	If dividend has not been paid or unclaimed withing O days from the date of declaration, such amount goeds to transfer within 7 days from the date of expiry Gran days to the "Unpaid Dividend Account".	omplied [C		Bince Section 124 was not notified, the Company, has complied with Section 205 A of the Companies Act, 1958
šo tr	If the amount lies in the unpaid dividend account r a period of 7 years then the company needs to saster such amount along with the shares to the PF secount	o such instance occurred	o such instance occurred	No such instance occurred
29 In A	ecounts of the Companies very Company needs to prepare its ennual accounts line with Accounting Standard case a company has any subsidiaryries (includes sociate and Joint Venture Co) It needs to prepare a nsolidated financial statement	Complied	Complied	Complied





[ISOS India DESTLA			
Secti	List of Compilance under the Companies Act, 2	213 (the Act) & the rules made thereunder Financial Year 2016-17	internal francisco contrata con a successiva de la contrata del contrata de la contrata de la contrata del contrata de la contrata del la contrata de la contrata de a contrata de la contrata del la contrata de la contrata del la c	
137	Copies of financial statement including consolidate financial statement needs to be filed with registrar within 30 days of the AGM	The limeline for the filler of forceds	Financial Year 2016-15 The Imeline for the filing of financial statement in XBRL form has been extended by MCA up to December 30, all 2015. The Company has filed the financial statement on November 19, 2016.	Complete Filed with ROC on August 2:
139	Audit and Auditors The First auditor of the company shall be appointly the Board within 30 days from the date successful.	eu of Not Relevant	Not Relevant	Not Relevant
139(1	in case appointment/Re-appointment of auditor ever the company needs to the Form ADT-1 with RQC without 15 days of such appointment/ re-appointment.	y No such instance occurred	No such instance occurred	Complied
130(2	For Listed and other companies as prescribed, I tenure of an individual shall not exceed 5 yrs and litres shall not exceed two consecutive term of 5 yrs	he or Complied	Complied	Complete
140(1	To remove the auditors appointed by the members in AGM Form ADT -2 shall be filed with MCA with 30 days of passing the Resolution by the BOD	in No such instance occurred	No such instance occurred	No such instance occurred
142(1	INTER CY DESSING THE DOOR OF RECOLUTION	Omplied Complied	Complied	Complied
346(1	Appointment and Qualifications of Directors Appointment of Viones Director Every company shall have at least 1 director reside	Constind	Cancilis	Canalas
149(3	of India for a total period of not less than 182 days	In Complied	Compiled	Compiled
149(4	Every Listed Company shall have at least one-third total number of director as independent director	P ^E Complied	Compiled	Complied
149(7)	Every independent director shall give a declarable that he meets the criteria of independence as under, at the first meeting in which he participates as director; at the first meeting for the Board in each financial year whenever any change in circumstances which affect the status as independent director.	e Complied	Compiled	Comprised
149	Appointment of independent director shall to tomalized through latter of appointment.	⁴ Cpinplied.	Complied	Complied
149	Terms and conditions of appointment of Independentiations shall also be posted on the company's well	Complied	Complés	Complied
14%10% 1)8(13)	in Board Report Provisions partialning to retirement of directors b rotation shall not be applicable to Independer Directors	Compiles	Compiled	Complied
150(2)	Appointment of Independent Director shall be approved in General Meeting and explanatory statement shall indicate justification for choosing such person		Complied Done in the AGM held on 24 Ju	Complete, Done in the AGM held on 24 ,
158	Every person or company, while furnishing any return information or particulars as are required to be turnished under this Act, shall mention the Director jatentification Number in such return, informations or particulars in case such return, information or particulars relate to the director or contain any inference of any director.	Complied	Complied	Complied
180	Right of persons other than retiring directors to stand for directorship	Complied	Compiled	Complied
165	No person shall be a director in more than 20 companies Maximum number of public companies can be 10	Complied	Complied	Complied
108	Director to intimate his resignation to the Company, which the Company shall file with ROC in Form DIR-12 in 30 days Company to put resignation details on its websits and in its Directors' Report. Director Is also required to send his resignation letter directly to ROC within 30 days in Form DIR-11, along with reasons of Resignation.	No such instance occurred	Complied	No such instance occurred
170(1)	Every Company to keep at its Registered Office, a Register of Directors and KMP in the prescribed format containing prescribed particulars	Complied.	Complied	Complied ,
170(2)	Return of Directors and KMP to be filed with ROC in Form (UR-12, within 30 days of appointment or	Complied	Complied	Complied
173		Four Board Meetings were held during the year and the gap between any two meetings did not exceed 120 days. The dates on which the salid meetings were notid are as follows: April 29, 2016, July 28, 2016, October 20, 2016 and February 102, 2017.	May 18, 2015, July 23, 2015, November 05, 2015 and January 28, 2016	Elight Board Meetings were held during the year and the gap between any two meetings did not exceed 120 days. The dates on which the said meetings were held are as follows: April 03, 2014, June 02, 2014, June 03, 2014, June 21, 2014, June 21, 2014, June 22, 2015, 2014, June 21, 2014,
173	A meeting of the board shall be called by giving not axis than 7 days' notice in switing to every director at his address registered with the company.	Comptes		Complied
173	suel be prosent	Vo such Instance occurred	No such Instance occurred	to such instance occurred
118	Alnutes of proceeding of Board Meeting shall be igned within 30 days from the date of conclusion of the meeting.	Complied	Complied s	Compiled
177	very Listed Company shall constitute an Audii	Zamptiad	Complled	Complied
177	he Audil committee shall constal of mistrum 5	omplied	Complied	omplied





Section Sect	11664
The Court state company that constitute a Natrinabou and Remanded part of the Compiled NA 12.1 Event Meeting shalf wave a sent number of the Meeting of the directors present at the meeting decides Compiled Compiled Compiled Compiled NA 13.3 Notes ability pactify the certal number, tay, date, time and full address of the venue of the Meeting of the director present at the meeting decides Compiled Compiled Compiled Compiled NA 13.1 The Meeting Notice, agenda, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled Compiled Compiled NA 13.1 The Meeting is noted at shorter notice such fact shalt are stated in the notice Compiled Compiled Compiled Compiled Compiled NA	11664
Triangle year street company shall consisted a Normanian and Remundation committee and Remundation committee and Remundation committee and Remundation committee and Remundation consists of minimum 3 or more Non-executive directors out of which not loss than one half shall consisted minimum 3 or more Non-executive directors out of which not loss than one half shall consider and director. 197 198 199 199 199 199 199 199	11664
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176 Octavity Institution 3 of more Non-executive directors out of which not test lives one half shull be independent director. 162 Posticut Configuration by the company. No such instance occurred. Compiled. Octinities. No such instance occurred. No such instance	Greek Control of the
out of vision of tots that one half shall be complied. 162 Political Cantinutes by the company. 163 Related Party Transactions. 164 Related Party Transactions. 165 Related Party Transactions. 166 Requirements for Appointment of manipurgial Participated. 167 Requirements for Appointment of manipurgial Participated. 168 Requirements for Appointment of manipurgial Participated. 169 Requirements for Appointment of Manipurgial Participated. 160 Compiled Compiled Compiled Compiled Compiled NA 160 Requirements for Appointment of Manipurgial Participated. 160 Requirements for Appointment	STEEL
192 Peristrat Centrocyten by the company No such instance occurred No such ins	### ### ### ### ### ### ### ### ### ##
199 Register of Contract in which directors are interested Updated U	office of the state of the stat
Register of Contract in which directors are interested Updated	
Appelinment and Returneration of Managerial Paracetinal Appelinment and Returneration of Managerial Paracetinal Requirements for Appointment of managing director, whole-time decertor manager 203 Requirements for Appointment of Key managerial Personnel Particulars of compliand Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled Compiled NA 122 Board Meeting either original or adjourned for any purposes shall not be held on a National Holiday Chairmen cannot adjourn the meeting when majority of the directors present at the meeting decides Compiled Compiled Compiled Compiled NA 133 Notice shall specify the certal number, tlay, date, time and this write and this write. Person clear days' notice is necessary when notice has been provided via speed poststrainstrated contributed along with its delivery shall be maintained by the Compiled NA Proof of sending Notice, agends, notes & Minutes along with its delivery shall be maintained by the Compiled NA 1311 If the Meeting is held at shortar notice such fact shall be stated in the notice. Compiled Compiled Compiled Compiled NA	
108	
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Standard Standard. 1 Roard Meeting Compliance Secretarial Standard. 1 Roard Meeting Compilance Secretarial Standard. 1 Roard Meeting Compilance Standard. 1 Roard Meeting Compilance Standard. 1 Roard Meeting Standard. 1 Roard Meeting Compilance Standard. 1 Roard Meeting Standard. 1 Roard Meeting Compiled Compiled NA Compiled NA Compiled NA Compiled NA NA Compiled NA NA National Standard Standard Standard National Standard S	
1 21 Every Meeting stainance as senan number: 1 22 Goard Meeting either original or adjourned for any purposes shall not be held on a National Holiday Compiled Compiled NA Chairmen cannot adjourn the meeting when majority of the directors present at the meeting decides otherwise. 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled Compiled Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting Compiled NA 1 3 Notes shall specify the certain number, day, date, time and full address of the venue of the Meeting of the Venue	
122 Cloard Meeting either original or adjourned for any purposes shall not be held on a National Holiday Compiled Compiled NA Chairmen cannot adjourn the meeting when majority of the directors present at the meeting decides eitherwise. 133 Notes shall specify the cerial number, day, date, time compiled Compiled Compiled NA 134 Deven clear days' notice is necessary when notice has been provided via speed posting-listered post i course. 135 Proof of sending Notice, agends, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled NA 136 Ompiled NA 137 Deven provided its delivery shall be maintained by the Compiled Compiled NA 137 Deven provided its delivery shall be maintained by the Compiled Compiled NA 138 Deven provided its delivery shall be maintained by the Compiled Compiled NA 139 Deven provided its delivery shall be maintained by the Compiled Compiled NA 139 Deven provided its delivery shall be maintained by the Compiled Compiled NA	
122 Cloard Meeting either original or adjourned for any purposes shall not be held on a National Holiday Compiled Compiled NA Chairmen cannot adjourn the meeting when majority of the directors present at the meeting decides eitherwise. 133 Notes shall specify the cerial number, day, date, time compiled Compiled Compiled NA 134 Deven clear days' notice is necessary when notice has been provided via speed posting-listered post i course. 135 Proof of sending Notice, agends, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled NA 136 Ompiled NA 137 Deven provided its delivery shall be maintained by the Compiled Compiled NA 137 Deven provided its delivery shall be maintained by the Compiled Compiled NA 138 Deven provided its delivery shall be maintained by the Compiled Compiled NA 139 Deven provided its delivery shall be maintained by the Compiled Compiled NA 139 Deven provided its delivery shall be maintained by the Compiled Compiled NA	
Compiled Compiled NA Chaltmen cannot adjourn the meeting when majority of the directors present at the meeting decides otherwise thanking the certain the meeting decides of the directors present at the meeting of compiled Compiled NA 1.3.3 Notice shall specify the certain number, stey, date, time compiled Compiled Compiled NA 1.3.6 Beven clear days' notice is necessary when notice has been provided via speed postfregistrated post from the compiled Compiled NA 1.3.1 Proof of sending Notice, egends, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled Compiled NA 1.3.1 If the Meeting is held at shorter notice such fact shall be stated in the notice. 1.3.1 If the Meeting is held at shorter notice such fact shall be stated in the notice.	
In the directors present at the meeting decides Compiled Compiled Compiled NA 13.3 Notice shall specify the certal number, tipy, date, time and full address of the venue of the Meeting Compiled Compiled NA 13.6 Seven clear days' notice is necessary when notice has been provided via speed poststraightered coal? Journet Seven provided NA 13.11 If the Meeting is held at shorter notice such fact shall be stated in the notice. In case the feeling of ned at shorter notice such fact shall compiled Compiled NA	
133 Notice shall specify the cettal number, tay, date, tribe compiled Compiled Compiled NA 136 Beven clear days' notice is necessary when notice has been provided via speed posttregistated post i country 137 Proof of sending Notice, agenda, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled NA 1311 If the Meeting is held at shorter notice such fact shall be stated in the notice. 1311 In case the feeling of confidential of the Compiled Compiled NA	***************************************
Beven clear days' notice is necessary when notice has been provided via speed post/registered post/seuron. Proof of sending Notice, agends, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled NA 1311 If the Meeting is held at shorter notice such fact shall be slated in the notice. Compiled Compiled NA In case the Recitive of controlled such fact shall be slated in the notice.	Naccentrate es es estado e berciar e
Beven clear days' notice is necessary when notice has been provided via speed post/registered post/seuron. Proof of sending Notice, agends, notes & Minutes along with its delivery shall be maintained by the Compiled Compiled NA 1311 If the Meeting is held at shorter notice such fact shall be slated in the notice. Compiled Compiled NA In case the Recitive of controlled such fact shall be slated in the notice.	~~
13.8 Seven clear days' notice is necessary when notice has been provided via speed post/fregistered post if countries Compiled Compiled	
Proof of sending Notice, agends, notes & Minutes along with its delivery shell be maintained by the Compiled NA 131 131 131 131 131 131 131 1	
Pool of sending Notice, agenda, notes à Minutes ulong with its delivery shell be maintained by the Compiled Compiled Compiled Company 1 3 11 1 3 11 1 1 1	
Compiled Compiled Compiled NA 1 3 11 If the Meeting is held at ehorter notice such fact shall Compiled Compiled Compiled NA 1.0 11 If the Meeting is held at ehorter notice such fact shall Compiled Compiled NA	
1 3 11 If the Meeting is held at shorter notice such fact shall Compiled Compiled NA	-
In Case the facility of participation through TV	
In case the facility of participation through Et	***************************************
Takota te lestes de la financia de l	·····
she Directors about the availability of such called	
Divide them necessary information to purity and	
13.4 facility is shall also contain the contact nation of complied complied (Complied address(es) of the Chairman or the Company NA	
1 (Secretary of any other bettern sutherized by the)	
Board, to whom the Director shall confirm in this regard	
Agenda and Notes on Agenda shall be sent to all	
Olirectors by hand or by speed post or by registered post or by courier or by e-mail or by any other	
I telectronic means at least seven drive before the Complied	
Meeting Seven clear days if notes on agenda have been sent via Speed Post/Registered Post / Counter.	
	*
Entries In the attendance register shall be suited-based by Company Secretary or where these to	***************************************
{ (registor should be preserved for B years and may b)	
destroyed thereafter with the approval of the Board	
7.13 Timestamp is necessary in case the company opts for Minutes are not recorded in e-mode Minutes are not recorded in e	
Total lime of commercement and constitute of the	
meeting to be meetinged in the minutes Compiled Compiled Compiled NA	
/221 designs of statistics from resolution have to resolved	
	- 1
The minutes shall record the details of casting vote, it is such instance occurred. No such instance occurred	
Where any earlier resolution has been suppressed of	
anodified a reference has to be made in the minutes. No such instance occurred.	1
Minutes of the preceding meeting of the Board of	
7.3.0 Committee thereof shall be noted at the subsequent Committed	
Accounting field writer that but only in the minutes	
Alloutes may be eigned and dated by the Chairman of the Meeting or by the Chairman of the Meeting or by the Chairman of the next Meeting.	
Titus, signing may or may not happen within 30 company Company	
32/4	
Draft minutes of the Board meeting or Committee	
thereof shall be sent to all the directors within 15 days of the meeting and proof of sending and delivery Compiled Compiled	
to be maintained by the company	
Leave of absence shell be granted to a director only	
4 2 whan a request has been received by the Company Compiled Scorplary or Cheirman NA	.]
An Interested Director shall not be entitled to yet	
INA INA	
Notice of an adjourned Meeting shall be given to an	
Directors including those who did not attend the Meeting on the originally convened date and unless	
1 Jule date of adjourned Meeting is decided at the	
136 Meeding, Notice thereof shall also be given not less that seven days before the Meeting Notice is No such instance occurred No such instance occurred	1
required even for adjourned masting November	
seven days' notice is mandatory for adjourned; meeting where the date of meeting is not decided.	1
st the original meeting is not decided	
6.7.2 Circult statistics Complete	
Dreft resolution and necessary pagers shall be seen	
1 10 All the directors on the same day includied	1
interested directors. Proof of sending and delivery to the maintained by the Company.	
AND ADDRESS AND AD	- 1
Each business should be explained via note stelling the details, material facts, scope, implications and complied	
Interest it any Delane of how to signify assent or complete	
dissent shall be explained.	
The state of the s	





YMAN COMMON AS	SGS India IFS Ltd t.ist of Compilance under the Companies Act, 2013	Lithe Act P 45 - pulse - pulse	***************************************	***************************************
Section				
and in the	Key Compilance Requirement Every circular resolution shall carry serial number.	Financial Year 2016-17	Financial Year 2015-18	Financial Year 2014:15
631	The decision of the Directors shall be sought for each Histologien separately.	Complled	Campsea	*IA
823	Not more than seven days from the date of circulation of draft resolution shell be given to directors to respond to the circular resolution. The votes of interested director shall not be counted.		Compilad	NA.
135	Check whether the company has constituted a CSR Committee	Compiaes	Complied	Cotopies
er e vanor e vengagaga	Check whether the CSR committee comprises of 3 or more directors out of which at least one director shall be no independent director.	Completes	Compiled	Complete
	Grack weather the CSR Committee has - formulated and recommended to the Board, a CSR Policy which indicates the activities to be undertaken by the company as specified in Schedule VII - recommended the amount of expenditure to be focured on the activities - monitors the CSR Policy of the company from time to time	Complifes	Compiled	Complied
	Genck whether ton Bestid has - Laken into account the recommendations of the CSR Committee and approved the CSR Policy. - ensured that the activities included in the CSR Policy are related to activities included in the CSR Policy are related to activities included in Stehedule VII to the Act [Also refer Circular 21/2014 dated 19-08-2014 for examples of activities - the activities are to be interpreted fiberally!	Compiled	Complied	Compiled
	Chack whether the CSR Policy includes the fallowing - a list of the CSR Projects or programs which a company plans to undertake failing within the purview of Schedule VII of the Act, specifying modellities of execution of such project or programs and implementation schedules for the same - monitoring process of such projects and programs - that the surplus arising from CSR projects or programs or advities will not form part of the business profit of a company	Compiles	Gemptod	Compiled
	Chack whother the expenditura being considered for compliance with Section 135 have been recursed on projects, programs or activities undertaken only in 1882	Complited	Compked	Complied
	heck required disclosures have been made in the	Compliled	Campled	Complet





Maintenance of Registers under the Companies Act, 2013

Every company shall have to maintain the following registers under the Companies Act, 2013.

Status	20 01	27 July	2017
Status	a5 ()1	12/300	/ 1111/

S.No	Particulars	Form No	Time Period	Status
***************************************	Register of members under section 88(1)(a) rule 3(1).	MGT-1	Within 6 months from the date of commencement of the sald rules i.e 1st April, 2014	Updated
2	Disclosures by a director of his interest under section 184(1) and rule 9(1).	МВР-1	31st March on every year	Updated
3	Register of contracts or arrangements in which directors are interested under section 189(1) and rule 16(1).	MBP-4	At the time of executing the contract / arrangement	Updated
***************************************			At the time of creating / modifying / satisfying charges.	Updated
5	Every company giving loan or giving guarantee or providing security or making an acquisition of securities shall, from the date of its incorporation	MBP-2	The entries in the register shall be made chronologically in respect of each such transaction within seven days from the date of such transaction	Updated
6	Register of Directors and KMP	· Maideiji istolium masuudduum .		Updated





ROC COMPLIANCE FY 2017-18 (till 31 July 2017) S. No FY 2016-17 FY 2015-16 FY 2014-15 Date of Actual Due Date Date of Date Date of Due Date Date of Filing Actual Actual Form PAS - 3 - Allotment of Shares - 27 April 2017 Filina Elling Filina 26-May-17 3-May-17 MGT 14 - Adoption of Annual financial statements 26-May-17 24-May-17 3 Form MGT-14 - For Appointment of Secretarial Auditor 26-May-17 24-May-1 Form MGT-14 - For Approval of Boards Report 26-May-17 24-May-17 Form DIR 12 - Resignation of Reli Thomas Cherlan 26-May-17 4-May-1 Form IEPF 1 - Details of amount transferred to IEPF 30-Jun-17 31-May-17 Form MGT-14 - Special Resolutions passed at Postal Ballot 15-Арг-16 7-Apr-16 Form DIR-12 - Appointment of Aarti Arvind, N. Valdyanathan and 30-Apr-16 2-May-16 Resignation of Gireendra Kasmalkar and Dr. Martin Mueller as Directors Form PAS - 3 - Alighment of Shares - 28 Apr 2016 27-May-16 18-May-16 10 MGT 14 - Adoption of Annual financial statements 27-May-16 20-May-16 22-May-15 19-May-15 16-Jun-15 16-Jun-15 11 Form MGT-14 - For Approval of Boards Report 27-May-16 20-May-16 12 Form MGT-14 - For Appointment of Company Secretary (KMP) 27-May-16 27-May-16 Form DIR-12 - Appointment of Reji Thomas Cherian 13 27-May-16 20-May-16 Form OIR-12 - Appointment / Resignation of Company Secretary
Form MR1 - Appointment of KMP (MD & ED) 14 27-May-16 12-May-16 15 30-Jun-16 27-May-16 27-Jun-16 27-May-16 Form MR1 - Appointment of KMP (Company Secretary) 16 17 Form MGT 10 - For Change in Shareholding > 2% 5-Jul-1! 3-Jul-15 18 Form MGT-14 - For Secretarial Auditor Appointment 27-Aug-16 13-Aug-16 22-Aug-15 Form MGT-15 - Proceeding of AGM to ROC 6-Aug-15 27-Aug-16 13-Aug-16 22-Aug-15 6-Aug-1 20 Form MGT-14 - Special Business transacted at AGM 27-Aug-16 13-Aug-16 22-Aug-15 23-Aug-14 Form PAS - 3 - Allotment of Shares 28 Jul 2016 8-Aug-15 31-Jul-1-27-Aug-16 8-Aug-16 Form CHG - 4 - Satisfaction of Charges 25-May-15 20-May-15 23 Form CHG - 1 - Creation / Modification of Charge 19-Oct-16 25-Oct-16 24 Form IEPF 2 / Form 5 INV- Unclaimed Dividend Data as on AGM date 27-Oct-16 24-Oct-16 24-Oct-15 7-Oct-15 23-Oct-14 7-Oct-1 Form MGT 7 - Annual Return 29-Nov-16 26-Oct-16 30-Dec-15 3-Nov-15 26 Form AOC - 4 XBRL - Financials Filing 29-Nov-16 31-Oct-16 30-Dec-15 19-Nov-15 23-Oct-14 7-Oct-14 Form IEPF 1 - Details of amount transferred to IEPF 13-Nov-16 7-Nov-16 28 Form PAS - 3 - Allotment of Shares 2 Feb 2017 8-Feb-17 4-Mar-17 Dispatch of Annual Report 29 3-Jul-17 26-Jun-17 1-Jul-15 29-Jun-15 2-Jul-14 27-Jun-14 30 Form DIR-12 - Appointment of Lilian Jessie Paul 3-Jul-17 26-Jun-17 22-Aug-15 8-Aug-15 31 Form PAS - 3 - Allobment of Shares 5 Nov 2015 4-Dec-15 30-Nov-15 Form PAS - 3 - Allotment of Shares 28 Jan 2016 27-Feb-16 3-Feb-16 Form GNL-2 - Postal Ballot Calender of Events Filing of B/s & P&L A/c (Form 23AC,ACA XBRL) - Due Date(30 days from 12-Feb-16 12-Feb-16 23-Aug-14 21-Aug-14 the date of AGM) Filing of Annual Return (Form 20B) - Due Date(60 days from the date of 23-Sep-14 20-Sep-14 Appointment of CFO (DIR - 12) - Board Resolution date 23.04.2014 23-May-14 23-May-14 Appoinment of KMP (MGT - 14) - Board Resolution date 23.04.2014 - 2 23-May-14 23-May-14 Allotment of Shares under ESOS (PAS - 3) - Board Resolution date 23-May-14 23-May-14 23.04.2014 Return of appointment of KMP - MR - 1 3-Jun-14 22-Jun-14 40 Disclosure of Interest received from all the Directors MGT - 14 2-Jul-14 23-Jun-14 Allotment of Shares under ESOS (PAS - 3) - Board Resolution date 2-Jul-14 23-Jun-14 Modification of Charge on Cash Credit (CHG - 1) - Agreement date 13.06.2014 Modification of Charge on Term Loan (CHG - 1) - Agreement date 11-Jul-14 11-101-14 11-Jul-14 13.06.2014 Allotment of Shares under ESOS (PAS - 3) - Board Resolution date 11-Jul-14 23-Aug-14 30-Jul-14 24/07/2014 For GNL-2 along ADT - 1 8-Aug-14 31-Jul-14 Form INC 24 - (60 days from the date of filing form INC 1) 46 2-Sep-14 4-Aug-14 47 GNL-2 along with MGT - 10 19-Apr-14 7-Aug-14 48 GNL-2 along with MGT - 15 23-Aug-14 14-Aug-14 DIR 12 for Appointment of Independent Directors & Redesignation of 49 Managing Director Form MR 2 - For appointment of Managing Director 23-Aug-14 23-Aug-14 50 26-Mar-14 22-Aug-14 MGT 14 - Adoption of Quarterly Unaudited Financial Statements 23-Aug-14 27-Sep-14 MGT 14 - Adoption of Accounts, Internal Auditor Appointment, Taking note of Ms. Jessie Declaration and Mr. Rativ's disclosure DIR 12 for Appointment of Ms. Jessie Paul as Director 28-Nov-14 7-Nov-14 53 7-Nov-14 28-Nov-14 54 PAS 3 - ESOP Allotment 30/10/2014 28-Nov-14 7-Nov-14 Form MGT 10 - For Change in Shareholding of IDFC EQUITY OPPORTUNITY Series One - > 2% Form MGT 10 - For Change in Shareholding of ICICI SECURITIES LIMITED 29-Nov-14 29-Nov-14 6-Dec-19 29-Nov-14 Form MGT 10 - For Change in Shareholding of ICICI SECURITIES LIMITED-20-Dec-14 > 7% Form MGT 10 - For Change in Shareholding of SQS SOFTWARE QUALITY 17-Dec-14 27-Dec-14 17-Dec-1 SYSTEMS AG - > 2%
Form CHG - 1 - Modification of Charges 8-Jan-15 7-Jan-15 Form MGT 14 - For Adoption of accounts, Secretarial Auditor Appointment, 21-Feb-15 28-Jan-15 Mr. Rally's Disclosure 27/01/2015 Form PAS 3 - For Allotment of Share 21-Feb-15 29-Jan-15 Form INC- Name 1 - Availability 62 3-Jul-15 3-Jul-15 63 INC- 1 - Name Availability 2-May-14 2-May-14 64 PAS 3 - Allotrnent of Shares 2-Jun-14 2-Jun-14 65 PAS 3 - Allotment of Shares 23 April 2015 22-May-15 19-May-15





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Listing Regulations - Status of Compliance from FY 2014-15 S.No NATURE OF REPORTS Q1 2014-15 Q2 2014-15 03 2014-15 04 2014-15 Due Date Act Date Due Date Act Date Due Date Act Date Due Date Act Date A Compliance as per Listing Agreement 1. Quarterly Compliance Report on Corporate Governance(CLAUSE 49) 15-Apr-14 14-Apr-14 15-Jul-14 2 Shareholding Pattern to the Stock Exchange (Clause 35) 14-Jul-14 15-Oct-14 8-Oct-14 15-Jan-15 14-Jan-15 21-Apr-14 16-Apr-14 21-Jul-14 15-Jul-14 21-Oct-14 15-Oct-14 3 Notice of Board Meeting to the Stock Exchange to consider the Unaudited Quarterly Results 21-Jan-15 14-Jan-15 15-Apr-14 15-Apr-14 16-Jul-14 16-Jul-14 22-Oct-14 16-Oct-14 14-Jan-15 12-Jan-15 (with Review report) OR Annual Audited Results (CLAUSE 41) Reconciliation of Share Capital Audit Report from CA/PCS for reconciliation of physical share 30-Apr-14 16-Apr-14 30-Jul-14 16-Jul-14 30-Oct-14 20-Oct-14 30-Jan-15 14-Jan-15 and Demat shares 5 Publication of notice of Board Meeting to be held in newspaper 16-Apr-14 16-Apr-14 17-Jul-14 17-Jul-14 22-Oct-14 18-Oct-14 15-Jan-15 13-Jan-15 6 Declaration of the Otr. Results (Clause 41) 23-Apr-14 23-Apr-14 15-Aug-14 24-Jul-14 15-Nov-14 30-Oct-14 15-Feb-15 22-Jan-15 7 | Submission of Audited Result with Auditors Report (Only in case of Audited results) 30-May-14 23-Apr-14 8 Otr. Result to Stock Exchange (Outcome) 23-Apr-14 23-Apr-14 24-Jul-14 24-Jul-14 30-Oct-14 30-Oct-14 22-Jan-15 22-Jan-15 9 Intimation of Publication of Qtr. Result (Eng.+Hindi) 25-Apr-14 24-Apr-14 26-Jul-14 25-Jul-14 1-Nov-14 31-Oct-14 24-Jan-15 23-Jan-15 10 Submission of Voting Results (Clause 35A) 26-Jul-14 25-Jul-14 11 | Certificate from a PCS - Half yearly Compliance Certificate(CLAUSE 47C) 30-Apr-14 16-Apr-14 30-Oct-14 20-Oct-14 12 Information as per Reg. 8(3) SAST 13 Disclosure of Shareholding by Directors/ designated employee/ dependents under regulation 23-Sep-14 23-Sep-14 13(3) and 13(6). If any 14 Information as per Reg. 30(2) SAST (Annual Information of Promoters) 15 Six Copies of Annual Reports to Stock Exchanges Clause 31(A) 27-Jun-14 27-Jun-14 16 Book Closure Publication (Vernacular +English Newspaper) 16-Jul-14 12-301-14 17 E voting Intimation in the News paper 12-Jul-14 12-Jul-14 18 | Book Closure Notice to SE, RTA, Depositories(Clause 16) (book Closure on 15th July) 10-Jul-14 16-Jun-14 19 Intimation of Audited financial results (yearly) 30-May-14 23-Apr-14 20 Intimation of Press Release of Audited Financial Result (Yearly) 25-ADr-14 24-Apr-14 21 Proceedings of AGM 30-Jul-14 30-Jul-14 22 Minutes of AGM 30-Jul-14 30-Jul-14 23 Annual Listing Fees 30-Apr-14 17-Apr-14 24 Annual Custodial fees to NSDL/CDSL 30-Apr-14 29-Apr-14 25 Memorandum and Article of Association - Clause 33 Requirement-Amended as of 24-07-2014 12-Aug-14 12-Aug-14



26 Agreement with Media Companies

